

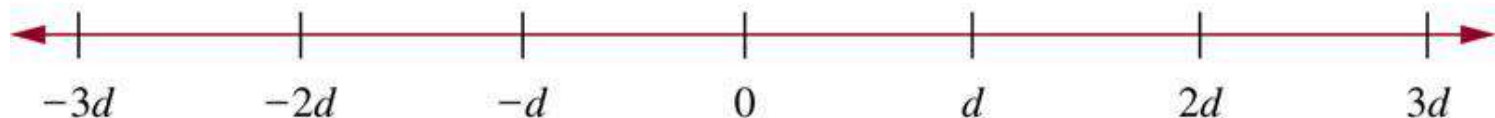
4.1 Divisibility and modular arithmetic

- **Number theory**: the branch of mathematics involves integers and their properties
- If a and b are integers with $a \neq 0$, we say that a divides b if there is an integer c s.t. $b = ac$
- When a divides b we say that a is a **factor** of b and that b is a **multiple** of a
- The notation $a \mid b$ denotes a divides b . We write $a \nmid b$ when a does not divide b

Example

- Let n and d be positive integers. How many positive integers not exceeding n are divisible by d ?
- The positive integers divisible by d are all integers of the form dk , where k is a positive integer
- Thus, there are $\lfloor n/d \rfloor$ positive integers not exceeding n that are divisible by d

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Theorem and corollary

- Theorem: Let a , b , and c be integers, then
 - If $a \mid b$ and $a \mid c$, then $a \mid (b+c)$
 - If $a \mid b$, and $a \mid bc$ for all integers c
 - If $a \mid b$ and $b \mid c$, then $a \mid c$
- Corollary: If a , b , and c are integers s.t. $a \mid b$ and $a \mid c$, then $a \mid mb+nc$ whenever m and n are integers

The division algorithm

- Let a be integer and d be a positive integer. Then there are unique integers q and r with $0 \leq r < d$, s.t. $a = dq + r$
- In the equality, q is the quotient, r is the remainder
 $q = a \text{ div } d$, $r = a \text{ mod } d$
- -11 divided by 3
- $-11 = 3(-4) + 1$, $-4 = -11 \text{ div } 3$, $1 = -11 \text{ mod } 3$
- $-11 = 3(-3) - 2$, but remainder cannot be negative

Modular arithmetic

- If a and b are integers and m is a positive integer, then a is **congruent** to b modulo m if m divides $a-b$
- We use the notation $a \equiv b \pmod{m}$ to indicate that a is **congruent** to b modulo m
- If a and b are not congruent modulo m , we write $a \not\equiv b \pmod{m}$
- Let a and b be integers, m be a positive integer. Then $a \equiv b \pmod{m}$ if and only if $a \bmod m = b \bmod m$

Example

- Determine whether 17 is congruent to 5 modulo 6, and whether 24 and 14 are not congruent modulo 6
 - $17-5=12$, we see $17 \equiv 5 \pmod{6}$
 - $24-14=10$, and thus $24 \not\equiv 14 \pmod{6}$

Theorem

- Karl Friedrich Gauss developed the concept of congruences at the end of 18th century
- Let m be a positive integer. The integer a and b are congruent modulo m if and only if there is an integer k such that $a=b+km$
 - ($\Rightarrow$) If $a=b+km$, then $km=a-b$, and thus m divides $a-b$ and so $a \equiv b \pmod{m}$
 - ($\Leftarrow$) if $a \equiv b \pmod{m}$, then $m \mid a-b$. Thus, $a-b=km$, and so $a=b+km$

Theorem

- Let m be a positive integer. If $a \equiv b \pmod{m}$ and $c \equiv d \pmod{m}$, then $a+c \equiv b+d \pmod{m}$ and $ac \equiv bd \pmod{m}$
 - Since $a \equiv b \pmod{m}$ and $c \equiv d \pmod{m}$, there are integers s, t s.t. $b=a+sm$ and $d=c+tm$
 - Hence, $b+d=(a+c)+m(s+t)$,
 $bd=(a+sm)(c+tm)=ac+m(at+cs+stm)$
 - Hence $a+c \equiv b+d \pmod{m}$, and $ac \equiv bd \pmod{m}$

Example

- $7 \equiv 2 \pmod{5}$ and $11 \equiv 1 \pmod{5}$, so
 - $18=7+11 \equiv 2+1=3 \pmod{5}$
 - $77=7 \cdot 11 \equiv 2 \cdot 1=2 \pmod{5}$

Corollary

- Let m be a positive integer and let a and b be integers, then

$$(a+b) \bmod m = ((a \bmod m) + (b \bmod m)) \bmod m$$

$$ab \bmod m = ((a \bmod m)(b \bmod m)) \bmod m$$

- Proof: By definitions mod m and congruence modulo m , we know that $a \equiv (a \bmod m) \pmod{m}$ and $b \equiv (b \bmod m) \pmod{m}$. Hence
 - $(a+b) \equiv ((a \bmod m) + (b \bmod m)) \pmod{m}$
 - $ab \equiv (a \bmod m)(b \bmod m) \pmod{m}$

4.2 Integer representations and algorithms

- Base b expansion of n
- For instance, $(245)_8 = 2 \cdot 8^2 + 4 \cdot 8 + 5 = 165$
- Hexadecimal expansion of $(2AE0B)_{16}$
 $(2AE0B)_{16} = 2 \cdot 16^4 + 10 \cdot 16^3 + 14 \cdot 16^2 + 0 \cdot 16 + 11 = 175627$
- Constructing base b expansion

Base conversion

- Constructing the base b expansion
 $n = bq_0 + a_0, 0 \leq a_0 < b$
- The remainder a_0 , is the rightmost digit in the base b expansion of n
- Next, divide q_0 by b to obtain
 $q_0 = bq_1 + a_1, 0 \leq a_1 < b$
- We see a_1 is the second digit from the right in the base b expansion of n
- Continue this process, successively dividing the quotients by b , until the quotient is zero

Example

- Find the octal base of $(12345)_{10}$
- First, $12345 = 8 * 1543 + 1$
- Successively dividing quotients by 8 gives
$$1543 = 8 * 192 + 7$$
$$192 = 8 * 24 + 0$$
$$24 = 8 * 3 + 0$$
$$3 = 8 * 0 + 3$$
- $(12345)_{10} = (30071)_8$

Modular exponentiation

- Need to find $b^n \bmod m$ efficiently in cryptography
- Impractical to compute b^n and then mod m
- Instead, find binary expansion of n first, e.g.,
 $n = (a_{k-1} \dots a_1 a_0)$

$$b^n = b^{a_{k-1} \cdot 2^{k-1} + \dots + a_1 \cdot 2 + a_0} = b^{a_{k-1} \cdot 2^{k-1}} b^{a_{k-2} \cdot 2^{k-2}} \dots b^{a_1 \cdot 2} b^{a_0}$$

- To compute b^n , first find the values of $b, b^2, \dots, (b^4)^2 = b^8, \dots$
- Next multiply the b^{2^j} where $a_j = 1$

Example

- To compute 3^{11}
- $11=(1011)_2$, So $3^{11}=3^8 3^2 3^1$. First compute $3^2=9$, and then $3^4=9^2=81$, and $3^8=(3^4)^2=(81)^2=6561$, So $3^{11}=6561*9*3=177147$
- The algorithm successively finds $b \bmod m$, $b^2 \bmod m$, $b^4 \bmod m$, ..., $b^{2^{k-1}} \bmod m$, and multiply together those terms

Algorithm

- **procedure** *modular exponentiation* (b :integer, $n=(a_{k-1}a_{k-2}a_1a_0, \dots, a_n)_2$, m :positive integer)
 $x := 1$
 power:= $b \bmod m$
 for $i:=0$ to $k-1$
 if $a_i=1$ **then** $x:=(x \cdot \text{power}) \bmod m$
 power:=(power·power) mod m
 end
 { x equals $b^n \bmod m$ }
- It uses $O((\log m)^2 \log n)$ bit operations

Example

- Compute $3^{644} \bmod 645$
 - First note that $644 = (1010000100)_2$
 - At the beginning, $x=1$, $\text{power}=3 \bmod 645 = 3$
 - $i=0$, $a_0=0$, $x=1$, $\text{power}=3^2 \bmod 645=9$
 - $i=1$, $a_1=0$, $x=1$, $\text{power}=9^2 \bmod 645=81$
 - $i=2$, $a_2=1$, $x=1*81 \bmod 645=81$, $\text{power}=81^2 \bmod 645=6561 \bmod 645=111$
 - $i=3$, $a_3=0$, $x=81$, $\text{power}=111^2 \bmod 645=12321 \bmod 645=66$
 - $i=4$, $a_4=0$, $x=81$, $\text{power}=66^2 \bmod 645=4356 \bmod 645=486$
 - $i=5$, $a_5=0$, $x=81$, $\text{power}=486^2 \bmod 645=236196 \bmod 645=126$
 - $i=6$, $a_6=0$, $x=81$, $\text{power}=126^2 \bmod 645=15876 \bmod 645=396$
 - $i=7$, $a_7=1$, $x=(81*396) \bmod 645=471$, $\text{power}=396^2 \bmod 645=156816 \bmod 645=81$
 - $i=8$, $a_8=0$, $x=471$, $\text{power}=81^2 \bmod 645=6561 \bmod 645=111$
 - $i=9$, $a_9=1$, $x=(471*111) \bmod 645=36$
- $3^{644} \bmod 645=36$

4.3 Primes and greatest common divisions

- **Prime:** a positive integer p greater than 1 if the only positive factors of p are 1 and p
- A positive integer greater than 1 that is not prime is called **composite**
- **Fundamental theorem of arithmetic:** Every positive integer greater than 1 can be written uniquely as a prime or as the product of two or more primes when the prime factors are written in order of non-decreasing size

Example

- Prime factorizations of integers
 - $100=2\cdot 2\cdot 5\cdot 5=2^2\cdot 5^2$
 - $641=641$
 - $999=3\cdot 3\cdot 3\cdot 37=3^3\cdot 37$
 - $1024=2\cdot 2\cdot 2\cdot 2\cdot 2\cdot 2\cdot 2\cdot 2\cdot 2\cdot 2=2^{10}$

Theorem

- Theorem: If n is a composite integer, then n has a prime divisor less than or equal to $\sqrt{n}$
- As n is composite, n has a factor $1 < a < n$, and thus $n = ab$
- We show that $a \leq \sqrt{n}$ or $b \leq \sqrt{n}$ (by contraposition)
- Thus n has a divisor not exceeding $\sqrt{n}$
- This divisor is either prime or by the fundamental theorem of arithmetic, has a prime divisor less than itself, and thus a prime divisor less than $\sqrt{n}$
- In either case, n has a prime divisor $b \leq \sqrt{n}$

Example

- Show that 101 is prime
- The only primes not exceeding $\sqrt{101}$ are 2, 3, 5, 7
- As 101 is not divisible by 2, 3, 5, 7, it follows that 101 is prime

Procedure for prime factorization

- Begin by dividing n by successive primes, starting with 2
- If n has a prime factor, we would find a prime factor not exceeding $\sqrt{n}$
- If no prime factor is found, then n is prime
- Otherwise, if a prime factor p is found, continue by factoring n/p
- Note that n/p has no prime factors less than p
- If n/p has no prime factor greater than or equal to p and not exceeding its square root, then it is prime
- Otherwise, if it has a prime factor q , continue by factoring $n/(pq)$
- Continue until factorization has been reduced to a prime

Example

- Find the prime factorization of 7007
- Start with 2, 3, 5, and then 7, $7007/7=1001$
- Then, divide 1001 by successive primes, beginning with 7, and find $1001/7=143$
- Continue by dividing 143 by successive primes, starting with 7, and find $143/11=13$
- As 13 is prime, the procedure stops
- $7007=7 \cdot 7 \cdot 11 \cdot 13=7^2 \cdot 11 \cdot 13$

4.3 Theorem

- Theorem: There are infinitely many primes
- Proof by contradiction
- Assume that there are only finitely many primes, $p_1, p_2, \dots, p_n$. Let $Q = p_1 p_2 \dots p_n + 1$
- By Fundamental Theorem of Arithmetic: Q is prime or else it can be written as the product of two or more primes

Theorem

- However, none of the primes p_j divides Q , for if $p_j \mid Q$, then p_j divides $Q - p_1 p_2 \dots p_n = 1$
- Hence, there is a prime not in the list $p_1, p_2, \dots, p_n$
- This prime is either Q , if it is prime, or a prime factor for Q
- This is a contradiction as we assumed that we have listed all the primes

Mersenne primes

- As there are infinite number of primes, there is an ongoing quest to find larger and larger prime numbers
- The largest prime known has been an integer of special form $2^p - 1$ where p is also prime
- Furthermore, currently it is not possible to test numbers not of this or certain other special forms anywhere near as quickly as determine whether they are prime

Mersenne primes

- $2^2-1=3$, $2^3-1=7$, $2^5-1=31$ are Mersenne primes while $2^{11}-1=2047$ is not a Mersenne prime ($2047=23 \cdot 89$)
- Mersenne claims that 2^p-1 is prime for $p=2, 3, 5, 7, 13, 17, 19, 31, 67, 127, 257$ but is composite for all other primes less than 257
 - It took over 300 years to determine it is wrong 5 times
 - For $p=67$, $p=257$, 2^p-1 is not prime
 - But $p=61$, $p=87$, and $p=107$, 2^p-1 is prime
- The largest Mersenne prime known (as of early 2011) is $2^{43,112,609}-1$, a number with over 13 million digits

Distribution of primes

- **The prime number theorem:** The ratio of the number of primes not exceeding x and $x/\ln x$ approaches 1 as x grows without bound
- Can use this theorem to estimate the odds that a randomly chosen number is prime
- The odds that a randomly selected positive integer less than n is prime are approximately $(n/\ln n)/n = 1/\ln n$
- The odds that an integer near 10^{1000} is prime are approximately $1/\ln 10^{1000}$, approximately $1/2300$

Open problems about primes

- **Goldbach's conjecture:** every even integer n , $n > 2$, is the sum of two primes
 $4 = 2 + 2$, $6 = 3 + 3$, $8 = 5 + 3$, $10 = 7 + 3$, $12 = 7 + 5$, ...
- As of 2011, the conjecture has been checked for all positive even integers up to $1.6 \cdot 10^{18}$
- **Twin prime conjecture:** Twin primes are primes that differ by 2. There are infinitely many twin primes

Greatest common divisors

- Let a and b be integers, not both zero. The largest integer d such that $d \mid a$ and $d \mid b$ is called the **greatest common divisor** (GCD) of a and b , often denoted as $\gcd(a,b)$
- The integers a and b are **relative prime** if their GCD is 1
 $\gcd(10, 17)=1$, $\gcd(10, 21)=1$, $\gcd(10,24)=2$
- The integers $a_1, a_2, \dots, a_n$ are **pairwise relatively prime** if $\gcd(a_i, a_j)=1$ whenever $1 \leq i < j \leq n$

Prime factorization and GCD

- Finding GCD

$$a = p_1^{a_1} p_2^{a_2} \cdots p_n^{a_n}, b = p_1^{b_1} p_2^{b_2} \cdots p_n^{b_n}$$

$$\gcd(a, b) = p_1^{\min(a_1, b_1)} p_2^{\min(a_2, b_2)} \cdots p_n^{\min(a_n, b_n)}$$

$$120 = 2^3 \cdot 3 \cdot 5, \quad 500 = 2^2 \cdot 5^3$$

$$\gcd(120, 500) = 2^2 \cdot 3^0 \cdot 5^1 = 20$$

- Least common multiples** of the positive integers a and b is the smallest positive integer that is divisible by both a and b , denoted as $\text{lcm}(a, b)$

Least common multiple

- Finding LCM

$$a = p_1^{a_1} p_2^{a_2} \cdots p_n^{a_n}, b = p_1^{b_1} p_2^{b_2} \cdots p_n^{b_n}$$

$$\text{lcm}(a, b) = p_1^{\max(a_1, b_1)} p_2^{\max(a_2, b_2)} \cdots p_n^{\max(a_n, b_n)}$$

$$120 = 2^3 \cdot 3 \cdot 5, 500 = 2^2 \cdot 5^3$$

$$\text{lcm}(120, 500) = 2^3 \cdot 3^1 \cdot 5^3 = 8 \cdot 3 \cdot 125 = 3000$$

- Let a and b be positive integers, then
 $ab = \text{gcd}(a, b) \cdot \text{lcm}(a, b)$

Euclidean algorithm

- Need more efficient prime factorization algorithm
- Example: Find $\gcd(91, 287)$
- $287 = 91 \cdot 3 + 14$
- Any divisor of 287 and 91 must be a divisor of $287 - 91 \cdot 3 = 14$
- Any divisor of 91 and 14 must also be a divisor of $287 = 91 \cdot 3$
- Hence, the $\gcd(91, 287) = \gcd(91, 14)$
- Next, $91 = 14 \cdot 6 + 7$
- Any divisor of 91 and 14 also divides $91 - 14 \cdot 6 = 7$ and any divisor of 14 and 7 divides 91, i.e., $\gcd(91, 14) = \gcd(14, 7)$
- $14 = 7 \cdot 2$, $\gcd(14, 7) = 7$, and thus $\gcd(287, 91) = \gcd(91, 14) = \gcd(14, 7) = 7$

Euclidean algorithm

- Lemma: Let $a=bq+r$, where a , b , q , and r are integers. Then $\gcd(a,b)=\gcd(b,r)$
- Proof: Suppose d divides both a and b . Recall if $d|a$ and $d|b$, then $d|a-bk$ for some integer k . It follows that d also divides $a-bq=r$. Hence, any common division of a and b is also a common division of b and r
- Suppose that d divides both b and r , then d also divides $bq+r=a$. Hence, any common divisor of b and r is also common divisor of a and b
- Consequently, $\gcd(a, b)=\gcd(b,r)$

Euclidean algorithm

- Suppose a and b are positive integers, $a \geq b$. Let $r_0 = a$ and $r_1 = b$, we successively apply the division algorithm

$$r_0 = r_1 q_1 + r_2, 0 \leq r_2 < r_1$$

$$r_1 = r_2 q_2 + r_3, 0 \leq r_3 < r_2$$

...

$$r_{n-2} = r_{n-1} q_{n-1} + r_n, 0 \leq r_n < r_{n-1}$$

$$r_{n-1} = r_n q_n$$

$$\gcd(a, b) = \gcd(r_0, r_1) = \gcd(r_1, r_2) = \cdots = \gcd(r_{n-2}, r_{n-1})$$

$$= \gcd(r_{n-1}, r_n) = \gcd(r_n, 0) = r_n$$

- Hence, the gcd is the last nonzero remainder in the sequence of divisions

Example

- Find the GCD of 414 and 662

$$662 = 414 \cdot 1 + 248$$

$$414 = 248 \cdot 1 + 166$$

$$248 = 166 \cdot 1 + 82$$

$$166 = 82 \cdot 2 + 2$$

$$82 = 2 \cdot 41$$

$$\gcd(414, 662) = 2 \text{ (the last nonzero remainder)}$$

$$a = bq + r$$

$$\gcd(a, b) = \gcd(b, r)$$

The Euclidean algorithm

- **procedure** *gcd*(*a*, *b*: positive integers)

x := *a*

y := *b*

while (*y* ≠ 0)

begin

r := *x* mod *y*

x := *y*

y := *r*

end {gcd(*a*, *b*) = *x*}

- The time complexity is $O(\log b)$ (where $a \geq b$)

4.5 Applications of congruence

- Hashing function: $h(k)$ where k is a key
- One common function: $h(k)=k \bmod m$ where m is the number of available memory location
- For example, $m=111$,
 - $h(064212848)=064212848 \bmod 111=14$
 - $h(037149212)=037149212 \bmod 111=65$
- Not one-to-one mapping, and thus needs to deal with collision
 - $h(107405723)=107405723 \bmod 111 = 14$
 - Assign to the next available memory location

Pseudorandom numbers

- Generate random numbers
- The most commonly used procedure is the linear congruential method
 - Modulus m , multiple a , increment c , and seed x_0 , with $2 \leq a < m$, $0 \leq c < m$, and $0 \leq x_0 < m$
 - Generate a sequence of pseudorandom numbers $\{x_n\}$ with $0 \leq x_n < m$ for all n , by
$$x_{n+1} = (ax_n + c) \bmod m$$

Example

- Let $m=9$, $a=7$, $c=4$, $x_0=3$
 - $x_1=7x_0+4 \bmod 9=(21+4) \bmod 9=25 \bmod 9 = 7$
 - $x_2=7x_1+4 \bmod 9=(49+4) \bmod 9=53 \bmod 9 = 8$
 - $x_3=7x_2+4 \bmod 9=(56+4) \bmod 9=60 \bmod 9 = 6$
 - $x_4=7x_3+4 \bmod 9=(42+4) \bmod 9=46 \bmod 9 = 1$
 - $x_5=7x_4+4 \bmod 9=(7+4) \bmod 9=11 \bmod 9 = 2$
 - $x_6=7x_5+4 \bmod 9=(14+4) \bmod 9=18 \bmod 9 = 0$
 - $x_7=7x_6+4 \bmod 9=(0+4) \bmod 9=4 \bmod 9 = 4$
 - $x_8=7x_7+4 \bmod 9=(28+4) \bmod 9=32 \bmod 9 = 5$
 - $x_9=7x_8+4 \bmod 9=(35+4) \bmod 9=11 \bmod 9 = 3$
- A sequence of 3, 7, 8, 6, 1, 2, 0, 4, 5, 3, 7, 8, 6, 1, 2, 0, 4, 5, 3, ...
- Contains 9 different numbers before repeating

$$x_{n+1}=(ax_n+c) \bmod m$$

4.6 Cryptology

- One of the earliest known use is by Julius Caesar, shift each letter by 3

$$f(p) = (p+3) \bmod 26$$

– Translate “meet you in the park”

– 12 4 4 19 24 14 20 8 13 19 7 4 15 0 17 10

– 15 7 7 22 1 17 23 11 16 22 10 7 18 3 20 13

– “phhw brx lq wkh sdun”

– To decrypt, $f^{-1}(p) = (p-3) \bmod 26$

Example

- Other options: shift each letter by k
 - $f(p)=(p+k) \bmod 26$, with $f^{-1}(p)=(p-k) \bmod 26$
 - $f(p)=(ap+k) \bmod 26$

RSA cryptosystem

- Each individual has an encryption key consisting of a modulus $n=pq$, where p and q are large primes, say with 200 digits each, and an exponent e that is relatively prime to $(p-1)(q-1)$ (i.e., $\gcd(e, (p-1)(q-1))=1$)
- To transform M : Encryption: $C=M^e \bmod n$, Decryption: $C^d=M \bmod pq$
- The product of these primes $n=pq$, with approximately 400 digits, cannot be factored in a reasonable length of time (the most efficient factorization methods known as of 2005 require billions of years to factor 400-digit integers)